

# HETEROATOM DIRECTED PHOTOARYLATION

## SYNTHESIS OF FUNCTIONALIZED INDOLINES

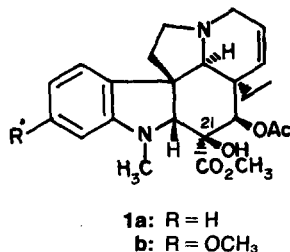
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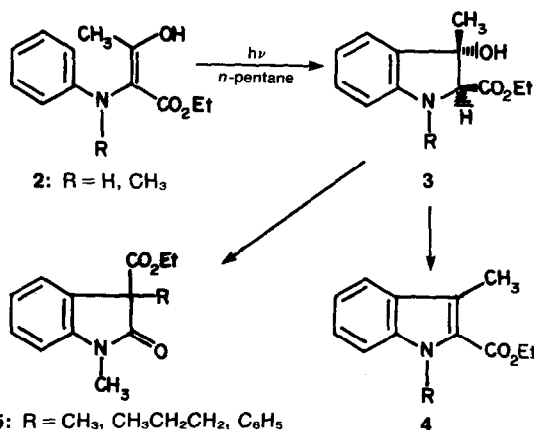
**Abstract**—The photochemical syntheses of indolines 25–28 are described.

Some years ago Chapman *et al.* reported that N-aryl-N-methyl enamines undergo photocyclization to give indolines.<sup>1</sup> Subsequently, we demonstrated<sup>2</sup> that a variety of aryl vinyl heterooides based on the group VI elements display analogous photochemistry (heteroatom directed photoarylation) to give aryl annelated heterocycles; A → B → C (X=O, S, Se). As part of this general program of study and because of our interest in providing a conceptually new approach to the medicinally important *Aspidosperma* alkaloids vindorosine 1a and vindoline 1b, we, too, became interested in N-aryl enamine photochemistry.

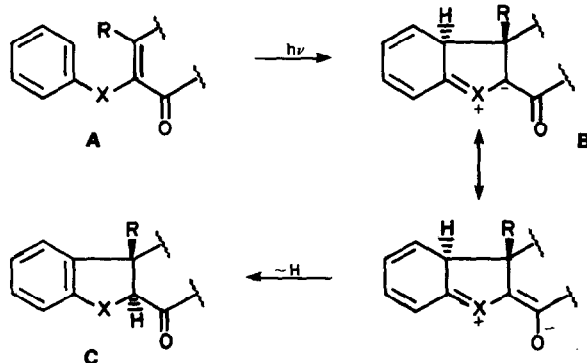


Early in our photoarylation studies, we discovered that superior chemical and photochemical yields are obtained with aryl vinyl ethers and sulfides which possess a carbonyl attachment to the vinyl group cross conjugated to the heteroatom; e.g. A. The CO group in A establishes a chromophore with which relatively low energy Pyrex-filtered light may be employed and at the same time presumably provides for stabilization of the intermediate ylide B as shown. Further consideration of the stereochemical consequences of photoarylation<sup>1,2</sup> and unique functionalization at C (21) in 1 suggested that a CO group would function as an ideal precursor to C (21) in 1.

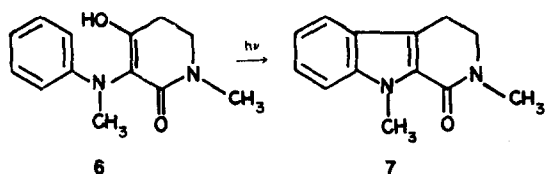
With these considerations in mind, we proceeded to develop a new 3-hydroxyindoline synthesis.<sup>3</sup> Thus, irradiation of the 2-aminoacetoacetates 2 in the absence of acids leads to the production of 3-hydroxyindolines 3 in near quantitative yield. Here, stereochemical considerations strongly suggest that the photoreaction occurs from the enol tautomer 2 via a photochemically allowed conrotatory photocyclization to give an intermediate ylide (analogous to B) which experiences a thermally allowed suprafacial 1,4-hydrogen migration to give the indoline 3.



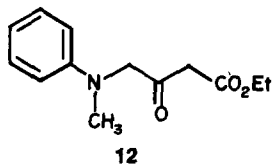
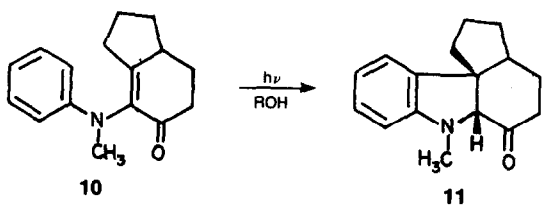
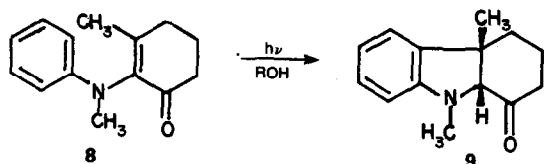
A variety of substituents on the benzene ring are compatible with the photocyclization and 3-hydroxyindolines are convertible to indoles 4 on acid-catalyzed dehydration and oxindoles 5 on oxidative rearrangement with lead tetraacetate-pyridine.<sup>4</sup> On the other hand, indoles may be isolated directly by irradiation in protic (acidic)



solvent.<sup>3</sup> For example, the tetrahydro- $\beta$ -carboline **7**<sup>5</sup> is prepared in 71% isolated yield on irradiation of the highly enolic  $\beta$ -ketolactam **6** in degassed benzene-methanol-acetic acid solution (15:15:1).<sup>6</sup>

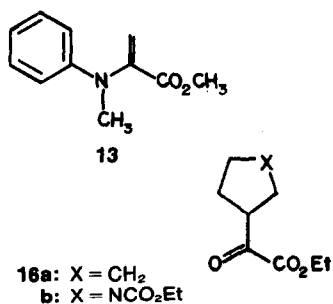


In work closely related to our projected synthesis of the *Aspidosperma* alkaloids, we described<sup>7</sup> the model photoconversions **8**  $\rightarrow$  **9** and **10**  $\rightarrow$  **11**. Both cyclizations occur in good yield and the preparation of **8** and **10** features the common annelation reagent **12**<sup>8</sup> as a key building block.

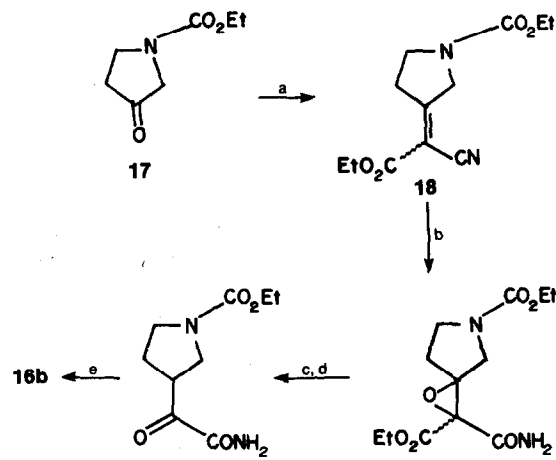


#### RESULTS AND DISCUSSION

In this report, we examine (1) alternate methods of N-aryl enamine preparation and (2) the effect of the critical second N atom in the vindoline ring system on the photocyclization. Enamines **13**–**15** have been prepared by the acid catalyzed condensation of  $\alpha$ -keto esters with aniline and N-methylaniline. Thus, **13** was prepared from methyl pyruvate and **14** from  $\alpha$ -keto ester **16a**.<sup>9</sup>



The preparation of **15** required synthesis of precursor **16b**, and this was accomplished by utilization of a method by Igarashi and Midorikawa (Scheme 1).<sup>9</sup> Knoevenagel condensation of N-ethoxycarbonyl-3-pyrrolidone **17**<sup>10</sup> with ethyl cyanoacetate gives **18** as a mixture of isomers. Without separation of isomers, **18** is reacted with hydrogen peroxide and a catalytic amount sodium tungstate dihydrate to give epoxides **19**, which on reaction with 1N HCl afford  $\alpha$ -keto amide **20**; esterification gives **16b**.

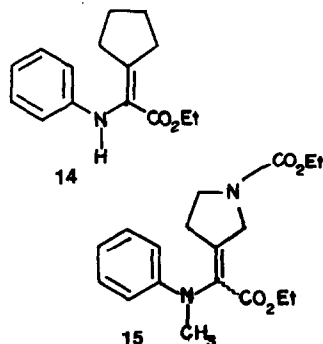


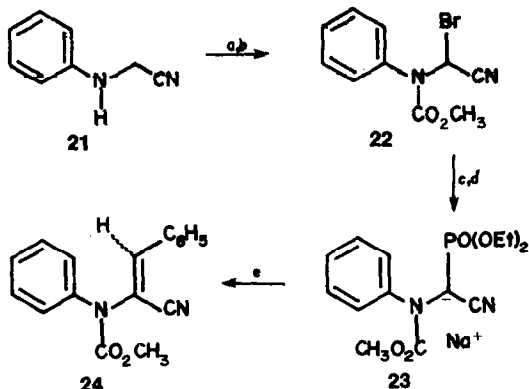
- (a) Ethyl cyanoacetate/HOAc,  $\text{NH}_4\text{OAc}$   
 (b) 30%  $\text{H}_2\text{O}_2/\text{Na}_2\text{WO}_4 \cdot 2\text{H}_2\text{O}$   
 (c)  $\text{KOH}/\text{EtOH}$   
 (d) 1 N HCl  
 (e)  $\text{EtOH}/\text{H}_2\text{SO}_4$

Scheme 1.

We also have examined enamine preparation via nitrile-stabilized phosphonate ylide **23** (Scheme 2).<sup>11</sup> Condensation of **23** with benzaldehyde gives N-aryl enamine **24** in reasonable yield (64% isolated); however, cyclopentanone and cyclohexanone both fail to react with **23**, indicating that this approach will have limited applicability.

N-aryl enamines **13**–**15** and **24** all undergo high yield photocyclization to indolines **25**–**28** on Pyrex-filtered irradiation in degassed benzene solution (Table I). The method is especially attractive for preparation of spiro-fused indolines such as **26** and **27**, which would be difficult to assemble using other procedures.<sup>12</sup> It is noteworthy that the photocyclization of **15** occurred in excellent yield to give **27** as a mixture of diastereoisomers. Prior to this experiment, we were



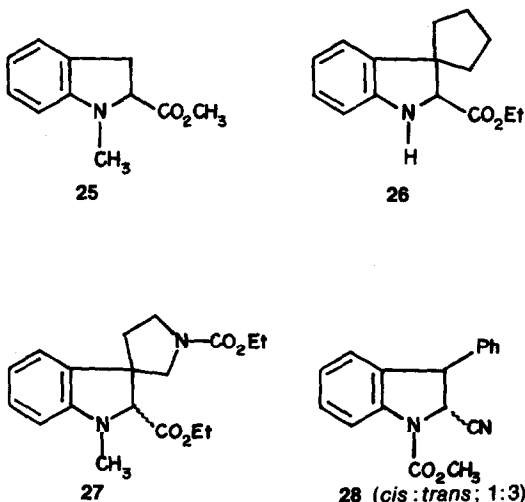


- (a)  $\text{ClCO}_2\text{CH}_3/\text{NaHCO}_3$ ,  $\text{CH}_2\text{Cl}_2$   
 (b)  $\text{NBS}/\text{C}_6\text{H}_6$ ,  $\text{h}\nu$   
 (c)  $\text{P}(\text{OEt})_3$   
 (d)  $\text{NaH}/\text{THF}$   
 (e)  $\text{C}_6\text{H}_5\text{CHO}/\text{THF}$ , reflux

Scheme 2.

concerned about the possibility of photoinduced cleavage of the allylic carbon-nitrogen bond in 15.

The photoconversion  $24 \rightarrow 28$  is of special significance, because placement of electron withdrawing substituents on the amine N atom might have been expected to reduce the capacity for electrocyclicization; cf. structure B. However, incorporation of the urethane functionality as in 24 seems to have little overall effect on the photo-reaction. Indolines which lack N-substitution also are readily available by photoarylation (e.g. 26).



We wish to emphasize that photoarylation should be the method of choice for preparation of indolines for which more established procedures would be at best marginally effective.<sup>13</sup> For example, 2-indolinecarboxylic

esters have been prepared by the tin-hydrogen chloride reduction of indoles; however, this method would be ineffective for the preparation of acid-sensitive indolines.<sup>14</sup> Furthermore, the direct utilization of  $\alpha$ -keto esters and aniline derivatives in the photoarylation method stands in marked contrast to the traditional Fischer synthesis of 2-indolecarboxylic esters,<sup>15</sup> which relies on the Japp-Klingemann reaction for the preparation of the appropriate hydrazone. The Japp-Klingemann reaction involves coupling of an arenediazonium salt with a  $\beta$ -carbonyl carboxylic acid derivative and subsequent decarboxylation.<sup>16</sup>

## EXPERIMENTAL

**General.**  $^1\text{H}$  NMR spectra were obtained on a Varian A-60A, T-60A or EM-390 NMR spectrometer (TMS standard,  $\text{CDCl}_3$  solvent). IR spectra were recorded on a Perkin-Elmer 137B infrared spectrometer. UV spectra were obtained on a Perkin-Elmer 552 spectrophotometer. Mps were measured on a calibrated Thomas-Hoover capillary m.p. apparatus. The light source for irradiation was a 450 W Ace-Hanovia medium pressure, mercury vapor lamp. Mass spectra were obtained on a Finnigan 3300 gas chromatograph-mass spectrometer or a Hitachi-Perkin-Elmer RMU-6E mass spectrometer. Preparative thin layer plates were made of E. Merck AG Darmstadt silica gel PF-254 or GF-254.

**Preparation of ethyl cyano (1-ethoxycarbonylpyrrolidin-3-ylidene) acetate (18).** To a soln of 17, (1.57 g, 10.0 mmol) in benzene (5 mL) was added a soln of ethyl cyanoacetate (1.13 g, 10.0 mmol) in benzene (5 mL), followed by addition of ammonium acetate (0.15 g) and glacial AcOH (0.46 mL). The mixture was heated to reflux in a Dean-Stark apparatus for 9 hr. The resulting soln was diluted with benzene (10 mL); washed with water ( $2 \times 10$  mL) and brine (10 mL); and dried with  $\text{MgSO}_4$ . Concentration and distillation (Kugelrohr oven  $135\text{--}150^\circ\text{C}/0.15$  mm) gave 18 (1.5 g, 60%) as a mixture of two isomers.  $^1\text{H}$  NMR  $\delta$  1.26 and 1.36 (two t,  $J = 7.5$  Hz, 6 H), 3.12 and 3.35 (two t,  $J = 7.5$  Hz, 2 H), 3.70 and 3.75 (two t,  $J = 7.5$  Hz, 2 H), 4.19 and 4.31 (two q,  $J = 7.5$  Hz, 4 H), 4.50 (broad s, 0.6 H), 4.68 (broad s, 1.4 H). IR (neat) 4.47, 5.85  $\mu$ .

**Preparation of ethyl 2-carbamoyl-5-ethoxycarbonyl-1-oxa-5-azaspiro[2,4]heptane-2-carboxylate (19).** To a soln of 18, (1.3 g, 5.1 mmol) EtOH (5 mL) was added sodium tungstate dihydrate (150 mg) and  $\text{H}_2\text{O}_2$  (30%, 60 mL). The mixture was heated at  $70^\circ\text{C}$  with stirring for 3 hr. EtOH was distilled and the aqueous soln was extracted with  $\text{CHCl}_3$  ( $3 \times 10$  mL). The combined  $\text{CHCl}_3$  extracts were dried with  $\text{MgSO}_4$ . Concentration and crystallization (hexane: Et<sub>2</sub>O; 1:9) gave 19, (6.15 g, 42%), m.p.  $105\text{--}107^\circ$ .  $^1\text{H}$  NMR  $\delta = 1.15\text{--}1.45$  (apparent q, 6 H), 1.70–2.55 (m, 2 H), 3.40–3.90 (m, 4 H), 4.02–4.46 (m, 4 H) 5.96 (broad s, 1 H), 6.66 (broad s, 1 H). IR (neat), 3.00, 5.75, 5.95  $\mu$ .

**Preparation of ethyl 1-ethoxycarbonylpyrrolidin-3-yl- $\alpha$ -oxoacetate (16b).** To a soln of 19 (629 mg, 2.19 mmol) in EtOH (3 mL) was added a soln of KOH (280 mg, 4.99 mmol) in EtOH (2 mL). After standing at room temp for 6 hr, EtOH was removed. The white solid residue was dissolved in a minimum amount of water (3 mL). The aqueous soln was acidified with 1 N HCl and stirred for 18 hr. After heating at  $90^\circ$  for 20 min, the soln was concentrated to yield a white solid residue. To the residue

Table 1. Photocyclization of N-Aryl enamines

N-Aryl enamines Irradiated	Product Isolated	Isolated Yield (%)
<u>13</u>	<u>25</u>	87
<u>14</u>	<u>26</u>	70
<u>15</u>	<u>27</u>	87
<u>24</u>	<u>28</u>	64

was added a soln of conc  $\text{H}_2\text{SO}_4$  (3 mL) in EtOH (50 mL), and the mixture was heated to reflux for 18 hr. EtOH was distilled and the aqueous soln was extracted with  $\text{CHCl}_3$  (3  $\times$  30 mL). The combined  $\text{CHCl}_3$  extracts were washed with sat  $\text{NaHCO}_3$  aq and dried over  $\text{MgSO}_4$ . Concentration and preparative tlc (silica gel,  $\text{Et}_2\text{O}$ ) gave **16b** (119 mg, 23% from **19**).  $^1\text{H NMR}$   $\delta$  1.15–1.45 (m, 6H), 2.00–2.30 (m, 1H), 3.36–4.49 (m, 10H). IR (neat) 5.75, 5.90  $\mu$ . MS (*m/e*) 243 ( $\text{M}^+$ ).

**Preparation of methyl 2-(N-methylanilino) propenoate (13).** To a soln of methyl pyruvate (1.02 g, 10.0 mmol) and N-methylaniline (2.14 g, 20.0 mmol) in benzene (15 mL) was added *p*-toluenesulfonic acid (2 mg). The mixture was heated to reflux in a Dean-Stark apparatus for 7 hr. After cooling, the soln was washed with  $\text{NaHCO}_3$  aq and dried with  $\text{MgSO}_4$ . Concentration and distillation (Kugelrohr oven, 100°/0.15 mm) gave **13** (766 mg, 40%).  $^1\text{H NMR}$ :  $\delta$  3.12 (s, 3H), 3.57 (s, 3H), 5.00 (s, 1H), 5.51 (s, 1H), 6.40–7.40 (m, 5H). IR (neat) 5.80, 6.25  $\mu$ .

**Preparation of ethyl cyclopentylideneanilinoacetate (14).** Enamine **14** was prepared by the same procedure as that for **13**. Aniline (242 mg, 2.6 mmol) and **16a** (219 mg, 1.3 mmol) gave **14** (185 mg, 58%) after distillation (Kugelrohr oven, 147°/0.15 mm).  $^1\text{H NMR}$   $\delta$  1.20 (t,  $J = 7.5$  Hz, 3H), 1.44–1.95 (m, 4H), 2.35 (t,  $J = 6.0$  Hz, 2H), 2.85 (t,  $J = 6.0$  Hz, 2H), 4.15 (q,  $J = 7.5$  Hz, 2H), 5.10 (broad s, 1H), 6.47–7.30 (m, 5H). IR 2.99, 5.80, 6.25  $\mu$ .

**Preparation of ethyl 2-(N-methylanilino)-2-(1-ethoxycarbonylpyrrolidin-3-ylidene)-acetate (15).** To a soln of **16b** (486 mg, 2.00 mmol) in benzene (8 mL) was added N-methylaniline (430 mg, 4.01 mmol), *p*-toluenesulfonic acid (2 mg), and anhyd  $\text{MgSO}_4$  (20 mg). The mixture was heated in a Dean-Stark apparatus for 18 hr. Filtration and concentration gave a brown oil (830 mg). Preparative tlc (silica gel, ether) gave **15** (201 mg, 30%).  $^1\text{H NMR}$   $\delta$  1.00–1.42 (m, 6H), 2.55–3.80 (m, 4H), 3.03 (s, 3H), 3.95–4.60 (m, 6H), 6.55–7.40 (m, 5H). IR (neat) 5.72, 5.85, 6.25  $\mu$ . UV (methanol)  $\lambda$  242 nm ( $\epsilon = 14300$ ), MS (*m/e*) 332 ( $\text{M}^+$ ).

**Preparation of N-methoxycarbonylanilinoacetone nitrile (22).** To a soln of anilinoacetone nitrile (40 g, 0.30 mole) in  $\text{CH}_2\text{Cl}_2$  (200 mL) was added a soln of methyl chloroformate (46 mL, 0.60 mole) in  $\text{CH}_2\text{Cl}_2$  (100 mL) followed by  $\text{NaHCO}_3$  (1 N, 600 mL). The mixture was stirred at room temp for 15 hr. The  $\text{CH}_2\text{Cl}_2$  layer was separated and washed with 10% HCl (2  $\times$  50 mL), sat  $\text{NaHCO}_3$  aq (2  $\times$  50 mL), and brine (100 mL), and dried over  $\text{MgSO}_4$ . Evaporation of solvent and distillation (123–4°/0.25 mm) afforded N-methoxycarbonylanilinoacetone nitrile (42.5 g, 75%).  $^1\text{H NMR}$   $\delta$  3.70 (s, 3H), 4.47 (s, 2H), 7.15–7.50 (m, 5H). IR (neat) 5.84, 6.23  $\mu$ .

To a soln of N-methoxycarbonylanilinoacetone nitrile (9.5 g, 50 mmol) in benzene (200 mL) was added N-bromosuccinimide (10.6 g, 59.6 mmol). The mixture was irradiated with a sun lamp and heated to reflux for 10 hr. After cooling, the soln was washed with 1 N  $\text{Na}_2\text{S}_2\text{O}_3$  (2  $\times$  50 mL), water (2  $\times$  50 mL) and brine (1  $\times$  50 mL) and dried over  $\text{MgSO}_4$ . Evaporation of solvent gave **22** (12.5 g, 93%).  $^1\text{H NMR}$   $\delta$  3.83 (s, 3H), 7.19 (s, 1H), 7.45 (broad s, 5H). IR (neat) 5.80, 6.25  $\mu$ .

**Preparation of  $\alpha$ -(N-methoxycarbonylanilino)cinnamonitrile (24).** To crude bromide **22** (11.5 g, 42.0 mmol) was added triethyl phosphite (7.7 g, 46 mmol). The mixture was heated to reflux for 3 hr. After cooling, the mixture was distilled at 150°–2°/0.003 mm to give diethyl cyano(N-methoxycarbonylanilino)methylphosphonate (7.1 g, 52%).  $^1\text{H NMR}$   $\delta$  1.10–1.40 (apparent q, 6H), 3.69 (s, 3H), 3.83–4.31 (m, 4H), 5.80 (d,  $J = 25.0$  Hz, 1H), 7.29–7.55 (broad s, 5H). IR (neat) 5.83, 6.21  $\mu$ .

To a suspension of sodium hydride (144 mg, 6.00 mmol) in THF (5 mL) was added a soln of diethyl cyano(N-methoxycarbonylanilino)methylphosphonate (1.63 g, 5.00 mmol) in THF (5 mL). After being stirred for 15 min, the mixture was heated to reflux for 15 min and cooled to room temp. A soln of benzaldehyde (1.06 g, 10 mmol) in THF (2 mL) was added dropwise and the mixture was heated to reflux for 3 hr. After cooling, water (40 mL) was added and the mixture was extracted with  $\text{CH}_2\text{Cl}_2$  (3  $\times$  30 mL). The  $\text{CH}_2\text{Cl}_2$  soln was washed with water (2  $\times$  30 mL) and brine (1  $\times$  30 mL) and dried over  $\text{MgSO}_4$ . Evaporation of solvent gave a brown oil (1.3 g), which was chromatographed on a silica gel column (hexane:ether; 2:3) to give **24** (0.89 g, 64%).  $^1\text{H NMR}$   $\delta$  3.79 (s, 3H), 7.07 (s, 1H), 7.15–7.45 (m, 8H), 7.65–7.77 (m, 2H). IR (neat) 4.49, 5.80, 6.25  $\mu$ .

### Photolysis of enamines

**Preparation of methyl 1-methylindoline-2-carboxylate (25).** A soln of **13** (392 mg, 2.05 mmol) in benzene (4 mL) was degassed with argon for 20 min and irradiated with Pyrex-filtered light for 7.5 hr. Concentration and distillation (Kugelrohr oven, 110°/0.15 mm) gave **25** (340 mg, 87%).  $^1\text{H NMR}$   $\delta$  2.80 (s, 3H), 3.01–3.38 (m, 2H), 3.77 (s, 3H), 4.03 (dd,  $J = 9.5$  Hz, 8.5 Hz, 1H), 6.30–7.25 (m, 4H). IR (neat) 5.75, 6.23  $\mu$ . MS (*m/e*) 191 ( $\text{M}^+$ ). (Found: C, 68.91; H, 6.97. Calcd. for  $\text{C}_{11}\text{H}_{13}\text{NO}_2$ : C, 69.09; H, 6.85%).

**Preparation of ethyl spiro[cyclopentane - 1, 3' - indoline] - 2' - carboxylate (26).** A soln of **14** (43 mg, 0.18 mmol) in benzene (2 mL) was degassed with argon for 20 min and irradiated with Pyrex-filtered light for 1.5 hr. Evaporation of solvent and preparative tlc on silica gel (hexane: EtOAc; 2:1) gave **26** (30 mg, 70%).  $^1\text{H NMR}$   $\delta$  1.30 (t,  $J = 7.5$  Hz, 3H), 1.60–2.18 (m, 8H), 4.19 (s, 1H), 4.21 (q,  $J = 7.5$  Hz, 2H), 6.50–7.38 (m, 5H). IR (neat) 2.90, 5.75, 6.20  $\mu$ . MS (*m/e*) 245 ( $\text{M}^+$ ). (Found: C, 73.32; H, 7.70; N, 5.81. Calcd. for  $\text{C}_{15}\text{H}_{19}\text{NO}_2$ : C, 73.43; H, 7.82; N, 5.71%).

**Preparation of ethyl 1-methyl-1'-ethoxycarbonylspiro[indoline-3, 3' - pyrrolidine] - 2 - carboxylate (27).** A soln of **15** (33 mg, 0.99 mmol) in benzene (2 mL) was degassed with argon for 20 min and irradiated with Pyrex-filtered light for 20 min. Evaporation of solvent and preparative tlc on alumina (hexane: EtOAc; 2:1) gave **27** (29 mg, 87%).  $^1\text{H NMR}$   $\delta$  1.10–1.42 (m, 6H), 1.85–2.69 (m, 2H), 3.30–3.80 (m, 4H), 3.89–3.91 (d, 1H) 4.00–4.40 (m, 4H), 6.44–7.38 (m, 4H). IR (neat) 5.73, 5.87, 6.23  $\mu$ . MS (*m/e*) 332 ( $\text{M}^+$ ).

**Preparation of 1 - methoxycarbonyl - 3 - phenylindoline - 2 - carbonitrile (28).** A soln of **24** (156 mg, 0.56 mmol) in benzene (2 mL) was degassed with argon for 20 min and irradiated with Pyrex-filtered light for 3 hr. Concentration and preparative tlc on silica gel (5% MeOH in  $\text{CH}_2\text{Cl}_2$ ) gave two fractions. From the faster moving band, the *cis*-isomer of **28** (75 mg) was isolated.  $^1\text{H NMR}$   $\delta$  3.86 (s, 3H), 4.78–5.43 (ABq,  $J = 9.1$  Hz, 2H), 7.05–7.93 (m, 9H). IR (neat) 5.82, 6.25  $\mu$ . MS (*m/e*) 278 ( $\text{M}^+$ ). From the slower moving band the *trans*-isomer of **28** (25 mg) was isolated.  $^1\text{H NMR}$   $\delta$  3.86 (s, 3H), 4.70–4.95 (ABq,  $J = 5.0$  Hz, 2H), 7.03–7.95 (m, 9H). IR (neat) 5.82, 6.25  $\mu$ . MS (*m/e*) 278 ( $\text{M}^+$ ).

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<sup>13</sup>*The Chemistry of Heterocyclic Compounds—Indoles*, (Edited by W. J. Houlihan), Part 1. Wiley-Interscience, New York (1972).

<sup>14</sup>The preparation of ethyl 2-indolinecarboxylate by the tin-

hydrogen chloride reduction of ethyl 2-indolecarboxylate has been reported; E. J. Corey, R. J. McCaully and H. S. Sachdev, *J. Am. Chem. Soc.* **92**, 2476 (1970).

<sup>15</sup>Other less general methods for 2-indolecarboxylic ester preparation are available; for a discussion of these methods, see W. E. Noland and F. J. Baude, *Org. Syn.* **43**, 40 (1963); *Coll. Vol.* **5**, 567 (1973).

<sup>16</sup>R. B. Phillips, *Org. Reactions* **10**, 143 (1959).